



PATENT APPLICATION

Handwritten: 6/6/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Richard R. Reisman

Appln. No.: 09/553,397

Filed: April 20, 2000

For: **SOFTWARE AND METHOD FOR
MONITORING A DATA STREAM
AND FOR CAPTURING DESIRED
DATA WITHIN THE DATA
STREAM**

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:
: Docket No. RRR-00-001US
:
: Group Art Unit: 2782
:
: Examiner: UNKNOWN

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Honorable Assistant Commissioner
of Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

Applicant respectfully submits that the listed documents were submitted in co-pending patent application Serial No. 08/641,010, which was filed on April 29, 1996. Since submission of the documents listed on the PTO-1449 Form is in full compliance with the provisions of 37 C.F.R. §1.98(d), it is respectfully submitted that Applicant need not submit a separate copy of each document.

In addition, Applicant takes this opportunity to notify the U.S. Patent and Trademark Office of the following co-pending patent applications:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 AND 1.98

Docket No.:	Serial No.	Filed
REISMANCIP72	08/641,010	April 29, 1996
RRR-00-002US	09/553,395	April 20, 2000
RRR-00-003US	09/553,336	April 20, 2000
RRR-00-004US	09/553,337	April 20, 2000
RRR-00-005US	09/553,338	April 20, 2000
RRR-00-006US	09/556,061	April 20, 2000
RRR-00-007US	09/556,062	April 20, 2000
RRR-00-008US	09/553,394	April 20, 2000
RRR-00-009US	09/553,393	April 20, 2000
RRR-00-010US	09/553,396	April 20, 2000
RRR-00-011US	09/643,872	August 23, 2000

The Information Disclosure Statement is being submitted under the provisions of:

☒ **37 C.F.R. §1.97(b)**

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

☐ **37 C.F.R. §1.97(c)**

The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR §1.491 in an international application, or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR §1.113, or

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 AND 1.98

2. a Notice of Allowance under 37 CFR §1.311,
whichever occurs first.

Also submitted herewith is:

☐ a certification as specified in 37 CFR §1.97(e),

OR

☐ the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under 37 CFR §1.97(c). For submissions under the provisions of 37 C.F.R. §1.1.97(c), the Commissioner is hereby authorized to charge the applicable fee set forth in 37 C.F.R. §1.17(p) of \$180.00 to our Deposit Account No. 16-2372. A duplicate of this copy of this page is enclosed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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Reg. No. 34,231

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Attachments: PTO Form 1449 (13 Pages)

Date: May 31, 2002

Attorney Docket No.: RRR-00-001US